

A Zero-Test and an Interpolation Algorithm for the Shifted Sparse Polynomials

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Abstract

Recall that a polynomial $f \in F[X_1, \dots, X_n]$ is t -sparse, if $f = \sum \alpha_I X^I$ contains at most t terms. In [BT 88], [GKS 90] (see also [GK 87] and [Ka 89]) the problem of interpolation of t -sparse polynomial given by a black-box for its evaluation has been solved. In this paper we shall assume that F is a field of characteristic zero. One can consider a t -sparse polynomial as a polynomial represented by a straight-line program or an arithmetic circuit of the depth 2 where on the first level there are multiplications with unbounded fan-in and on the second level there is an addition with fan-in t .

In the present paper we consider a generalization of the notion of sparsity, namely we say that a polynomial $g(X_1, \dots, X_n) \in F[X_1, \dots, X_n]$ is *shifted t -sparse* if for a suitable nonsingular $n \times n$ matrix A and a vector B the polynomial $g(A(X_1, \dots, X_n)^T + B)$ is t -sparse. One could consider g as being represented by a straight-line program of the depth 3 where on the first level (with the fan-in $n + 1$) a linear transformation $A(X_1, \dots, X_n)^T + B$ is computed. One could also consider a shifted t -sparse polynomial as t -sparse with respect to other coordinates $(Y_1, \dots, Y_n)^T = A(X_1, \dots, X_n)^T + B$.

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We assume that a shifted t -sparse polynomial g is given by a black-box and the problem we consider is to construct a transformation $A(X_1, \dots, X_n)^T + B$. As the complexity of the designed below algorithm (see the Theorem in which we describe the variety of all possible A, B and the corresponding t -sparse representations of $g(A(X_1, \dots, X_n)^T + B)$) depends on d^{n^4} where d is the degree of g , we could first interpolate g within time $d^{O(n)}$ and suppose that g is given explicitly. It would be interesting to get rid of d in the complexity bounds as it is usually done in the interpolation of sparse polynomials ([BT 88], [GKS 90], [Ka 89]). The main technical tool we rely on is the criterium of t -sparsity based on Wronskian ([GKS 91], [GKS 92]), the latter criterium has a *parametrical* nature (so we can select t -sparse polynomials from a given *parametrical* family of polynomials) unlike the approach in [BT 88] using BCH-codes.

We could directly consider (see the Theorem) the multivariate polynomials (section 3), but to make the exposition clearer before that we first study (see the proposition) the one-variable case (section 2). First at all we recall (section 1) the criterium of t -sparsity and based on it interpolation method for t -sparse multivariable polynomials.

In the last section 4 we design a zero-test algorithm for shifted t -sparse polynomials with the complexity independent on d .

1 A Criterium of t -sparsity and the Interpolation

Let p_1, \dots, p_n be pairwise distinct primes and denote by D a linear operator mapping $D : X_1 \rightarrow p_1 X_1, \dots, D : X_n \rightarrow p_n X_n$. We recall a criterium of t -sparsity (cf. also [BT 88]).

Lemma 1. ([GKS 91], [GKS 92]) *A polynomial $f \in F[X_1, \dots, X_n]$ is t -sparse if and only if the Wronskian*

$$W_f(X_1, \dots, X_n) = \det \begin{pmatrix} f & Df & \dots & D^t f \\ Df & D^2 f & \dots & D^{t+1} f \\ \vdots & \vdots & & \vdots \\ D^t f & D^{t+1} f & \dots & D^{2t} f \end{pmatrix} \in F[X_1, \dots, X_n]$$

vanishes identically.

An interpolation method from [BT 88] (see also [KY 88]) actually considers the Wronskian $W_f(1, \dots, 1)$ at the point $(1, \dots, 1)$ and is based on the following

Lemma 2. ([BT 88]) *If f is exactly t -sparse (i.e., f contains exactly t terms), then the reduced Wronskian does not vanish*

$$\bar{W}_f(1, \dots, 1) = \det \begin{pmatrix} f(1, \dots, 1) & (Df)(1, \dots, 1) & \dots & (D^{t-1}f)(1, \dots, 1) \\ \vdots & \vdots & & \vdots \\ (D^{t-1}f)(1, \dots, 1) & (D^t f)(1, \dots, 1) & \dots & (D^{2t-2}f)(1, \dots, 1) \end{pmatrix} \neq 0$$

at the point $(1, \dots, 1)$.

Thus, if $f = \sum \alpha_I X^I$ is exactly t -sparse and if a (characteristic) polynomial $\chi(Z) = \sum_{0 \leq j \leq t} \gamma_j Z^j \in \mathbb{Z}[\mathbb{Z}]$ has as its t roots p^I for all exponent vectors I occuring in f (where for $I = (i_1, \dots, i_n)$ we denote $p^I = p_1^{i_1} \cdots p_n^{i_n}$), then $\sum_{0 \leq j \leq t} \gamma_j D^j f = 0$ and hence

$$\begin{pmatrix} f & Df & \dots & D^t f \\ \vdots & \vdots & & \vdots \\ D^t f & D^{t+1} f & \dots & D^{2t} f \end{pmatrix} (\gamma_0, \dots, \gamma_t)^T = 0.$$

Therefore, a linear system

$$\begin{pmatrix} f(1, \dots, 1) & (Df)(1, \dots, 1) & \dots & (D^t f)(1, \dots, 1) \\ \vdots & \vdots & & \vdots \\ (D^t f)(1, \dots, 1) & (D^{t+1} f)(1, \dots, 1) & \dots & (D^{2t} f)(1, \dots, 1) \end{pmatrix} (Y_0, \dots, Y_t)^T = 0$$

has (up to a constant multiple) a unique (by lemma 2) solution $(Y_0, \dots, Y_t) = (\gamma_0, \dots, \gamma_t)$ which gives the coefficients of χ , thereby its roots p^I and finally I .

2 One-variable Shifted Sparse Polynomials

A polynomial $g \in F[X]$ is called *shifted t -sparse* if for an appropriate b a polynomial $g(X - b)$ is t -sparse (so the origin is shifted from 0 to b). If t is the least possible, we say that g is *minimally shifted t -sparse*, this notion relates also to the multivariable case. Let $F = \mathbb{Q}$. Usually we take b from the algebraic closure $\bar{\mathbb{Q}}$ (we could also consider b from \mathbb{R}). Assume that the bit-size of the (rational) coefficients of g does not exceed M .

Consider a new variable Y and an $\mathbb{Q}(Y)$ -linear transformation of the ring $\mathbb{Q}(Y)[X]$ mapping $D_1 : X \rightarrow p_1 X + (p_1 - 1)Y$. Denote

$$\mathcal{W}_g(X, Y) = \det \begin{pmatrix} g & D_1 g & \dots & D_1^t g \\ \vdots & \vdots & & \vdots \\ D_1^t g & D_1^{t+1} g & \dots & D_1^{2t} g \end{pmatrix} \in \mathbb{Q}[X, Y]$$

Lemma 3. *g is shifted t -sparse if and only if for some $Y = b$ a polynomial $\mathcal{W}_g(X, b)$ vanishes identically. Moreover in this case a polynomial $g(X - b)$ is t -sparse.*

Proof. If $g(X - b)$ is t -sparse, then the expansion $g = \sum_j \beta_j (X + b)^j$ into the powers of $(X + b)$ contains at most t terms. Lemma 1 implies that $\mathcal{W}_g(X, b)$ vanishes identically. The other direction follows also from lemma 1 which completes the proof.

Observe that for almost every b the polynomial $g(X - b)$ has exactly $(d + 1)$ terms, where $d = \deg(g)$, since in the polynomial $g(X - Y) \in \mathbb{Q}[X, Y]$ the coefficient in the power X^S is a polynomial in Y of degree exactly $d - S$, $0 \leq S \leq d$.

Lemma 3 provides an algorithm for finding t such that g is minimal shifted t -sparse which runs in time $d^{O(1)}$ (trying successively $t = 1, 2, \dots$), moreover this algorithm finds all $Y = Y_0$ such that $g(X - Y_0)$ is t -sparse. Namely, one writes down a polynomial system in Y equating to zero all the coefficients in the powers of X , thus the system contains $d^{O(1)}$ equations of degrees at most $d^{O(1)}$. So, one can prove the following proposition.

Proposition. *There is an algorithm which for one-variable polynomial g finds the minimal t and all Y_0 for which $g(X - Y_0)$ is t -sparse in time $(Md)^{O(1)}$. The number of such Y_0 does not exceed $d^{O(1)}$.*

One of the purposes of the sparse analysis is to get rid of d in the complexity bounds. We can write down a system in b with a less (for small t) number of equations, when b is supposed to belong to \mathbb{R} . So, assume that the expansion $g = \sum_j \beta_j (X + b)^j$ contains at most t terms for some $b \in \mathbb{R}$. Then for any fixed $Y = Y_0 \in \mathbb{R}$ a polynomial $(D_1^K g)(X, Y_0) = \sum_j \beta_j (p_1^K (X + Y_0) - Y_0 + b)^j$ for $K \geq 0$. Therefore the polynomial $\mathcal{W}_g(X, Y_0)$ has at most $2^{O(t^4)}$ real roots because of [Kh 91] since one can consider $(2t + 1)t$ powers of linear polynomials $(p_1^K (X + Y_0) - Y_0 + b)^j$, $0 \leq K \leq 2t$ as the elements of a Pfaffian chain [Kh 91].

Thus Y satisfies the conditions of lemma 3 if and only if it satisfies the following system of polynomial equations (cf. lemma 5 below)

$$\mathcal{W}_g(0, Y) = \mathcal{W}_g(1, Y) = \dots = \mathcal{W}_g(2^{O(t^4)}, Y) = 0 .$$

Each of the polynomials from the latter system can be represented by a black-box for its evaluation. As each of these polynomials $\mathcal{W}_g(s, Y)$ contains $(2t + 1)t$ powers $(p_1^K (s + Y) - Y + b)^j$, $0 \leq K \leq 2t$ the system has at most $2^{O(t^4)}$ real solutions (by the same argument relying on [Kh 91] as above), thus the number of such $Y = Y_0$ that $g(X - Y_0)$ is t -sparse is less than $2^{O(t^4)}$.

3 Multivariate Shifted Sparse Polynomials

Consider now $n^2 + n$ new variables $Z_{i,j}, Y_i$, $1 \leq i, j \leq n$ and a $\mathbb{Q}(\{Z_{\mathbb{N}}, Y_{\mathbb{N}}\}_{\# \leq \mathbb{N} \leq \kappa})$ -linear transformation D_n of the ring $\mathbb{Q}(\{Z_{\mathbb{N}}, Y_{\mathbb{N}}\}_{\# \leq \mathbb{N} \leq \kappa})[\mathbb{X}_{\#}, \dots, \mathbb{X}_{\kappa}]$ mapping

$$D_n X = Z P Z^{-1} (X - Y) + Y$$

where vectors $X = (X_1, \dots, X_n)^T, Y = (Y_1, \dots, Y_n)^T$, matrices $Z = (Z_{ij}), P = \begin{pmatrix} p_1 & & 0 \\ & \ddots & \\ 0 & & p_n \end{pmatrix}$. Similarly, as above denote

$$\mathcal{W}_g(X, Y, Z) = \det \begin{pmatrix} g & D_n g & \dots & D_n^t g \\ \vdots & \vdots & & \vdots \\ D_n^t g & D_n^{t+1} g & \dots & D_n^{2t} g \end{pmatrix} \in \mathbb{Q}(\mathbb{Z})[\mathbb{X}, \mathbb{Y}].$$

Lemma 4. *g is shifted t -sparse if and only if for some Z_0, Y_0 such that $\det Z_0 \neq 0$, the polynomial $\mathcal{W}_g(X, Y_0, Z_0)$ vanishes identically. Moreover, in this case a polynomial $g(Z_0 X + Y_0)$ is t -sparse.*

The proof is similar to the proof of lemma 3 taking into account that

$$(D_n g)(ZX + Y) = g(ZPZ^{-1}(ZX + Y - Y) + Y) = g(ZPX + Y).$$

As in section 2 lemma 4 provides a test for minimal shifted t -sparsity trying successively $t = 1, 2, \dots$ running in time $d^{O(n^4)}$ (see [CG 83] for solving system of polynomial equations and inequalities). Moreover, the algorithm finds algebraic conditions (equations and inequality $\det Z \neq 0$) on all Z, Y for which $g(ZX + Y)$ is t -sparse.

So, these Z, Y form a constructive set $U \subset \bar{\mathbb{Q}}^{n^2+n}$ given by a system $h_1 = \dots = h_k = 0, \det Z \neq 0$ where $h_1, \dots, h_k \in \mathbb{Q}[\{Z_{\square}, Y_{\square}\}_{\# \leq \square, \square \leq \kappa}]$, then $\deg(h_1), \dots, \deg(h_k) \leq d^{O(1)}, k \leq d^{O(1)}$. Applying the algorithm from [CG 83] one can find the irreducible over \mathbb{Q} components $\bar{U} = \bigcup_l U^{(l)}$ of the closure (in the Zariski topology) \bar{U} . For each component $U^{(l)}$ the algorithm from [CG 83] produces firstly, some polynomials $h_1^{(l)}, \dots, h_{N^{(l)}}^{(l)} \in \mathbb{Q}[\{Z_{\square}, Y_{\square}\}]$ such that $U^{(l)} = \{h_1^{(l)} = \dots = h_{N^{(l)}}^{(l)} = 0\}$ and secondly, a general point of $U^{(l)}$, namely the following fields isomorphism

$$\mathbb{Q}(U^{(\leq)}) \simeq \mathbb{Q}(\mathbb{T}_{\#}, \dots, \mathbb{T}_{\triangleright})[\theta]$$

where $\mathbb{Q}(U^{(\leq)})$ is the field of rational functions on $U^{(l)}$, $m = \dim(U^{(l)})$, linear forms T_1, \dots, T_m in variables $\{Z_{ij}, Y_i\}_{1 \leq i, j \leq n}$ constitute a transcendental basis of $\mathbb{Q}(U^{(\leq)})$ and θ is algebraic over $\mathbb{Q}(\mathbb{T}_{\#}, \dots, \mathbb{T}_{\triangleright})$. The algorithm produces a minimal polynomial $\phi(Z) \in \mathbb{Q}(\mathbb{T}_{\#}, \dots, \mathbb{T}_{\triangleright})[Z]$ of θ , the linear forms $T_S(\{Z_{ij}, Y_i\}), 1 \leq S \leq m$, a linear form $\theta(\{Z_{ij}, Y_i\})$,

and the expressions for the coordinate functions $Z_{i,j}(T_1, \dots, T_m, \theta), Y_i(T_1, \dots, T_m, \theta)$ as rational functions in T_1, \dots, T_m, θ . The degrees of the polynomials $h_1^{(l)}, \dots, h_{N^{(l)}}^{(l)}$ do not exceed $d^{O(n^2)}$, the bit-size of any of the (rational) coefficients occurring in these polynomials can be bounded by $M^{O(1)}d^{O(n^2)}$ and the algorithm runs in time $M^{O(1)}d^{O(n^4)}$.

Denote $\tilde{U}^{(l)} = U^{(l)} \setminus \{\det Z = 0\}$ (some of $\tilde{U}^{(l)}$ can be empty), remark that $U = \bigcup_I \tilde{U}^{(l)}$.

For any point $(Z_0, Y_0) \in \tilde{U}^{(l)}$ the polynomial $g(Z_0X + Y_0)$ is exactly t -sparse, therefore by lemma 2 the following linear system

$$\begin{pmatrix} g(X_0, Y_0, Z_0) & D_n g(X_0, Y_0, Z_0) & \dots & D_n^t g(X_0, Y_0, Z_0) \\ \vdots & \vdots & & \vdots \\ D_n^t g(X_0, Y_0, Z_0) & D_n^{t+1} g(X_0, Y_0, Z_0) & \dots & D_n^{2t} g(X_0, Y_0, Z_0) \end{pmatrix} (\gamma_0, \dots, \gamma_{t-1}, 1) = 0$$

has a unique solution, where the vector $X_0 = Z_0^{-1}((1, \dots, 1)^T - Y_0)$. As $\gamma_0, \dots, \gamma_{t-1} \in \mathbb{Z}$ (see section 1) and $\gamma_0, \dots, \gamma_{t-1}$ can be represented as the rational functions in $(Z, Y) \in \tilde{U}^{(l)}$, we conclude taking into account the irreducibility of $U^{(l)}$ that $\gamma_0, \dots, \gamma_{t-1}$ are constants on $\tilde{U}^{(l)}$. Thus, the exponent vectors I (see section 1) are the same for all the points $(Z, Y) \in \tilde{U}^{(l)}$.

So, for $(Z, Y) \in \tilde{U}^{(l)}$ one can write t -sparse representation of the polynomial

$$g = \sum_I C_I(Z, Y)(Z^{-1}(X - Y))^I \quad (1)$$

where the coefficients $C_I(Z, Y)$ depend on Z, Y . The equality (1) is equivalent to a system of equalities

$$g(ZX^{(0)} + Y) = \sum_I C_I(Z, Y)(Z^{-1}(X^{(0)} - Y))^I$$

where $X^{(0)}$ runs over all the vectors from $\{0, \dots, d\}^n$. Adding to the latter system the system $\det Z \neq 0, h_1^{(l)} = \dots = h_{N^{(l)}}^{(l)} = 0$ determining $\tilde{U}^{(l)}$ we come to a parametrical (with the parameters $\{Z_{ij}, Y_i\}$) linear in C_I system which one can solve invoking the algorithm from [H 83] (see also [CG 84]) in time $M^{O(1)}d^{O(n^4)}$. This algorithm yields some disjoint decomposition of $\tilde{U}^{(l)} = \bigcup_S U_S^{(l)}$ where each $U_S^{(l)}$ is a constructive set and also yields the rational functions $\bar{C}_{I,S}^{(l)}(\{Z_{ij}, Y_i\}) \in \mathbb{Q}(\{\mathbb{Z}_{\square\square}, \mathbb{Y}_{\square}\})$ such that $C_I = \bar{C}_{I,S}^{(l)}(\{Z_{ij}, Y_i\})$ for every point $\{Z_{ij}, Y_i\} \in U_S^{(l)}$ (thus each C_I is a piecewise-rational function on $\tilde{U}^{(l)}$).

The algorithm yields also polynomials $h_{S,0}^{(l)}, \dots, h_{S,N_S^{(l)}}^{(l)} \in \mathbb{Q}[\{\mathbb{Z}_{\square\square}, \mathbb{Y}_{\square}\}]$ such that $U_S^{(l)} = \{h_{S,0}^{(l)} \neq 0, h_{S,1}^{(l)} = \dots = h_{S,N_S^{(l)}}^{(l)} = 0\}$. From [H 83] (see also [CG 84]) we get the bounds on

the degrees $\deg(h_{S,q}^{(l)}), \deg(\bar{C}_{I,S}^{(l)}) \leq d^{O(n^2)}$ and the bound $M^{O(1)}d^{O(n^2)}$ for the bit-size of every (rational) coefficients of all the yielded rational functions.

Thus, we have proved the following theorem (cf. proposition above).

Theorem. *There is an algorithm which finds a minimal t and produces a constructive set $U \subset \bar{\mathbb{Q}}^{n^2+n}$ of all $\{Z_{ij}, Y_i\}_{1 \leq i, j \leq n}$ such that $g(ZX + Y)$ is t -sparse, in the form $U = \bigcup_l \mathcal{U}^{(l)}$ and for each constructive set $\mathcal{U}^{(l)}$ the algorithm produces polynomials $\mathcal{H}_0^{(l)}, \dots, \mathcal{H}_{\mathcal{N}^{(l)}}^{(l)} \in \mathbb{Q}[\{\mathbb{Z}_{\square}, \mathbb{Y}_{\square}\}]$ such that $\mathcal{U}^{(l)} = \{\mathcal{H}_0^{(l)} \neq 0, \mathcal{H}_1^{(l)} = \dots = \mathcal{H}_{\mathcal{N}^{(l)}}^{(l)} = 0\}$. Also the algorithm produces t exponent vectors and for each exponent vector I a rational function $\mathcal{C}_I^{(l)}(\{Z_{ij}, Y_i\}) \in \mathbb{Q}(\{\mathbb{Z}_{\square}, \mathbb{Y}_{\square}\})$ which provide t -sparse representations of*

$$g = \sum_I \mathcal{C}_I^{(l)}(\{Z_{ij}, Y_i\})(Z^{-1}(X - Y))^I$$

which is valid for every point $(\{Z_{ij}, Y_i\}) \in \mathcal{U}^{(l)}$. The degrees of all produced rational functions $\mathcal{H}_S^{(l)}, \mathcal{C}_I^{(l)}$ do not exceed $d^{O(n^2)}$, the bit-size of the coefficients of these rational functions can be bounded by $(Md^{n^2})^{O(1)}$ and the running time of the algorithm is at most $(Md^{n^4})^{O(1)}$.

Again when Z_{ij}, Y_i belong to \mathbb{R} we could write down a polynomial system on Z, Y with a less number of equations. For this purpose we need the following

Lemma 5. *If g is a shifted t -sparse polynomial, then for any Z_0, Y_0 such that $\det Z_0 \neq 0$ for at least one of $X_1^{(0)} = 1, \dots, n^{O(n)}2^{O(t^4)}$, a polynomial $\mathcal{W}_g(X_1^{(0)}, X_2, \dots, X_n, Y_0, Z_0) \in \mathbb{R}[\mathbb{X}_{\neq}, \dots, \mathbb{X}_{\times}]$ does not vanish identically, provided that $\mathcal{W}_g(X, Y_0, Z_0) \in \mathbb{R}[\mathbb{X}]$ does not vanish identically.*

Proof. Let for some $Z^{(0)}, Y^{(0)}$ a polynomial $g(Z^{(0)}X + Y^{(0)})$ be t -sparse, i.e.

$$g = \sum_J \beta_J \prod_{1 \leq i \leq n} ((Z^{(0)})^{-1}(X - Y^{(0)}))_i^{j_i}$$

where $J = (j_1, \dots, j_n)$ and the sum has at most t items (by $((Z^{(0)})^{-1}(X - Y^{(0)}))_i$ we denote i -th coordinate of the vector $(Z^{(0)})^{-1}(X - Y^{(0)})$). Then

$$(D_n^K g)(X, Y_0, Z_0) = \sum_J \beta_J \prod_{1 \leq i \leq n} ((Z^{(0)})^{-1}((Z_0 P^K Z_0^{-1}(X - Y_0) + Y_0) - Y^{(0)}))_i^{j_i} \quad \text{for } 0 \leq K \leq 2t.$$

Thus $\mathcal{W}_g(X, Y_0, Z_0)$ is a polynomial in $(2t + 1)t$ products of the form like in the latter expression and these products can be considered as the elements of a Pfaffian chain. [Kh 91]

entails (cf. also [GKS 93]) that the sum of Betti numbers of the variety $\{\mathcal{W}_g(X, Y_0, Z_0) = 0\} \subset \mathbb{R}^\kappa$ is less than $n^{O(n)}2^{O(t^4)}$. As in particular $(n-1)$ -th Betti number $b^{n-1} < n^{O(n)}2^{O(t^4)}$ we conclude the statement of the lemma (cf. [GKS 93]).

Thus, Y, Z satisfy the conditions of lemma 4 if and only if $\det Z \neq 0$ and they satisfy the following $n^{O(n^2)}2^{O(nt^4)}$ equations.

$$\mathcal{W}_g(X_1^{(0)}, \dots, X_n^{(0)}, Y, Z) = 0, \quad X_1^{(0)}, \dots, X_n^{(0)} \in \{1, \dots, n^{O(n)}2^{O(t^4)}\}$$

4 Zero-test for shifted sparse polynomials

Let g be shifted t -sparse polynomial. Then (see lemma 5) for at least one of $X_1^{(0)} = 1, \dots, n^{O(n)}2^{O(t^2)}$ a polynomial $g(X_1^{(0)}, X_2, \dots, X_n) \in \mathbb{Q}[\mathbb{X}_\neq, \dots, \mathbb{X}_\kappa]$ does not vanish identically. Thus for zero-test one can compute $g(X_1^{(0)}, \dots, X_n^{(0)})$ for $n^{O(n^2)}2^{O(nt^2)}$ points $(X_1^{(0)}, \dots, X_n^{(0)}) \in \{1, \dots, n^{O(n)}2^{O(t^2)}\}^n$. Then g vanishes identically if and only if all the results of computation vanish. Thus, the complexity of zero-test does not depend on d .

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