

# NP-Hardness of the Bandwidth Problem on Dense Graphs

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## Abstract

The *bandwidth problem* is the problem of numbering the vertices of a given graph  $G$  such that the maximum difference between the numbers of adjacent vertices is *minimal*. The problem has a long and varied history and is known to be  $NP$ -hard Papadimitriou [Pa 76]. Recently for dense graphs a constant ratio approximation algorithm for this problem has been constructed in Karpinski, Wirtgen and Zelikovsky [KWZ 97]. In this paper we prove that the bandwidth problem on the dense instances remains  $NP$ -hard.

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# 1 Introduction

The bandwidth problem on graphs has a very long and interesting history cf. [CCDG 82].

Formally the bandwidth minimization problem is defined as follows. Let  $G = (V, E)$  be a simple graph on  $n$  vertices. A numbering of  $G$  is a one-to-one mapping  $f : V \rightarrow \{1, \dots, n\}$ . The bandwidth  $B(f, G)$  of this numbering is defined by

$$B(f, G) = \max\{|f(v) - f(w)| : \{v, w\} \in E\},$$

the greatest distance between adjacent vertices in  $G$  corresponding to  $f$ . The bandwidth  $B(G)$  is then

$$B(G) = \min_{f \text{ is a numbering of } G} \{B(f, G)\}$$

Clearly the bandwidth of  $G$  is the greatest bandwidth of its components.

The problem of finding the bandwidth of a graph is NP-hard [Pa 76], even for trees with maximum degree 3 [GGJK 78]. The general problem is not known to have any sublinear  $n^\epsilon$ -approximation algorithms. There are only few cases where we can find the optimal layout in polynomial time. Saxe [Sa 80] designed an algorithm which decides whether a given graph has bandwidth at most  $k$  in time  $O(n^k)$  by dynamic programming. Bandwidth two can be checked in linear time [GGJK 78]. Kratsch [Kr 87] introduced an exact  $O(n^2 \log n)$  algorithm for the bandwidth problem in interval graphs. Smithline [Sm 95] proved that the bandwidth of the complete  $k$ -ary tree  $T_{k,d}$  with  $d$  levels and  $k^d$  leaves is exactly  $\lceil k(k^d - 1)/(k - 1)(2d) \rceil$ . Her proof is constructive and contains a polynomial time algorithm, for this task. For caterpillars [HMM 91] found a polynomial time  $\log n$ -approximation algorithm. A caterpillar is a special kind of a tree consisting of a simple chain, the body, with an arbitrary number of simple chains, the hairs, attached to the body by coalescing an endpoint of the added chain with a vertex of the body. In Karpinski, Wirtgen and Zelikovsky [KWZ 97] introduced a 3-approximation algorithm for everywhere  $\delta$ -dense graphs.

**Definition 1 ([AKK 95])** *We call a graph  $G$  (everywhere)  $\delta$ -dense, if the minimum degree  $\delta(G)$  is at least  $\delta n$ . We call it dense in average, if the number of edges is in  $\Omega(n^2)$ .*

In this paper we show that the bandwidth problem on dense graphs is NP-hard, answering the question raised in [KWZ 97].

This paper is organized as follows. Section 2 gives a simple proof for the NP-hardness of the bandwidth problem for dense graphs in average. In Section 3 we

observe some to the bandwidth related notations in graph theory and discuss some known results of [ACP 87] [BGHK 95] [KKM 96]. In section 4 we relate the results of section 3 to the bandwidth problem in dense graphs and prove its  $NP$ -hardness.

## 2 NP-Hardness for Dense Graphs in Average

In this section we will prove the  $NP$ -hardness of the bandwidth problem on dense graphs in average. Although it is a weaker result as the result of 4, we include it to introduce some new techniques.

We start with the standard PARTITION problem: given a set  $A = \{1, \dots, n\}$  and sizes  $s : A \rightarrow \mathbb{Z}^+$  - the question is, whether there is a subset  $I \subseteq A$ , such that  $\sum_{i \in I} s(i) = \sum_{i \in A \setminus I} s(i)$ ? The problem is  $NP$ -complete (cf. [GJ 79] [SP12]).

We can reformulate the PARTITION problem as the following GPARTITION problem: given a graph  $G = (V, E)$  partition  $V$  in  $V_1 \cup V_2$ , such that

- $|V_1| = |V_2|$
- There are no edges between  $V_1$  and  $V_2$ .

We will call such a partition a *good* partition.

**Lemma 2** *GPARTITION is NP-complete.*

PROOF: Clearly it is  $NP$ -easy. For the  $NP$ -hardness take an instance  $(A, s)$  of PARTITION and construct the graph  $G = (V, E)$  as follows: for each  $i \in A$  there are  $s(i)$  copies  $v_{i,1}, \dots, v_{i,s(i)}$  in  $V$ , building a clique. Now suppose we have a partition  $(V_1, V_2)$  of  $V$  such that  $|V_1| = |V_2|$  and that there are no edges between  $V_1$  and  $V_2$ . Each clique is completely in one of the sets. Define  $I = \{i | v_{i,1} \in V_1\}$ . Since  $|V_1| = |V_2|$ , we have  $\sum_{i \in I} s(i) = \sum_{i \in A \setminus I} s(i)$ . ■

Now we show in Theorem 3 how to reduce GPARTITION to the bandwidth problem, yielding an alternative proof of its  $NP$ -hardness [Pa 76]. In Corollary 4 we show how to densify the instances constructed in the proof of Theorem 3 to get the  $NP$ -hardness of the bandwidth problem on dense graphs in average.

**Theorem 3** ([Pa 76]) *The bandwidth problem is NP-hard.*

PROOF: We take an instance  $G = (V = \{v_1, \dots, v_n\}, E)$  of GPARTITION. Now construct the graph  $G' = (V', E')$ :

- $V' = V \cup \{z_1, \dots, z_n, x\}$
- $E' = E \cup \{\{v_1, z_i\} | i = 1, \dots, n\} \cup \{\{x, z_i\} | i = 1, \dots, n\}$

Since  $\deg(x) = n$  we know that  $B(G') \geq n/2$ . We will show, that  $B(f, G') = n/2$  iff the numbering  $f$  defines a good partition. If  $B(f, G') = n/2$ , then the  $z$ -vertices have to be on both sides of  $x$ , building blocks  $Z_1$  and  $Z_2$ . Thus the vertices of  $V$  have to be either on the left side of  $Z_1$  or on the right side of  $Z_2$ , building blocks  $V_1$  and  $V_2$  (see figure 1).

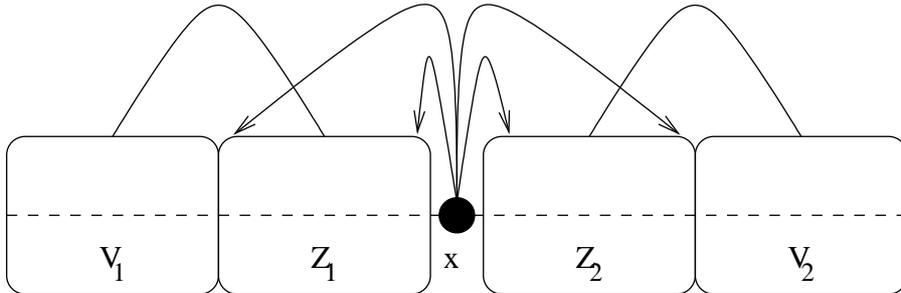


Figure 1: A layout  $f$  with  $B(f, G') = n/2$ .

Since  $B(f, G') = n/2$  no edges cross  $x$ . The only possibility for the arrangement is that the vertices in  $V_i$  are the same as in  $Z_i$  appearing in the same order as their copies. It follows, that the partition  $(V_1, V_2)$  is a good partition for GPARTITION. Thus  $B(G) = n/2$  iff there is a good partition of  $V$ . ■

Now we add a large clique  $C_{n/2+1}$  to the graph. Since  $|V'| = O(n)$ , the resulting graph is dense in average. Using the same arguments as in the proof of theorem 3, we get

**Corollary 4** *The bandwidth problem for dense graphs in average is NP-hard.*

In section 4 we will strengthen the result to everywhere dense graphs.

### 3 Related Notations and Known Results

The class of  $k$ -trees is defined recursively as follows:

1. The complete graph on  $k$  vertices is a  $k$ -tree.
2. Let  $G$  be a  $k$ -tree on  $n$  vertices, then the graph constructed as follows is also a  $k$ -tree: add a new vertex and connect it to all vertices of a  $k$ -clique of  $G$ , and only to these vertices.

Any subgraph of a  $k$ -tree is called *partial  $k$ -tree*. Arnborg et al. showed in [ACP 87] that PARTIAL- $k$ -TREE is NP-complete. PARTIAL- $k$ -TREE is the problem given a graph  $G$  and an integer  $k$ , decide whether  $G$  is a partial  $k$ -tree or not.

A *tree decomposition* of a graph  $G = (V, E)$  is a pair  $(\{X_i | i \in I\}, T = (I, F))$ , where  $T$  is a tree and  $\{X_i\}$  is a set of subsets of  $V$ , such that

1.  $\bigcup_{i \in I} X_i = V$
2. For all  $\{u, v\} \in E$ , there is an  $i \in I$  with  $u, v \in X_i$
3. For all  $i, j, k \in I$ , if  $j$  is on the path from  $i$  to  $k$  in  $T$ , then  $X_i \cap X_k \subseteq X_j$ .

The *treewidth*  $tw((\{X_i\}, T), G)$  of a tree decomposition  $(\{X_i\}, T)$  is defined by

$$tw((\{X_i\}, T), G) = \max_i |X_i| - 1$$

The *treewidth*  $tw(G)$  of a graph  $G$  is then

$$tw(G) = \min_{(\{X_i\}, T)} tw((\{X_i\}, T), G)$$

Between the treewidth of a graph and the smallest  $k$  such that  $G$  is a partial  $k$ -tree exists the following well known connection:

**Lemma 5** *For  $k \geq 1$  the treewidth of a graph  $G$  is at most  $k$  if and only if  $G$  is a partial  $k$ -tree. Thus  $tw(G)$  equals to the smallest  $k$  such that  $G$  is a partial  $k$ -tree.*

PROOF: See, for example, [Le 90]. ■

There is also a connection between the bandwidth and the treewidth of cobipartite graphs as showed in [KKM 96]. We call a graph *cobipartite* if it is the complement of a bipartite graph.

**Lemma 6 ([KKM 96])** *Let  $G$  be a cobipartite graph. Then*

$$B(G) = tw(G)$$

Using Lemma 5 we get

**Corollary 7** *Let  $G$  be a cobipartite graph. Then  $B(G)$  equals to the smallest  $k$  such that  $G$  is a partial  $k$ -tree.*

In section 4 we will have a closer look to the proof of NP-hardness of PARTIAL- $k$ -TREE and prove that the instance for PARTIAL- $k$ -TREE constructed there, is everywhere dense and cobipartite. Thus it is easy to show that the bandwidth problem on dense graphs is NP-hard.

## 4 NP-Hardness for Everywhere Dense Graphs

First of all we sketch the proof of  $NP$ -hardness of PARTIAL- $k$ -TREE proposed in [ACP 87] to show that the constructed instance is a everywhere dense cobipartite graph. By the results stated in section 3 the  $NP$ -hardness of bandwidth in everywhere dense graphs follows.

**Theorem 8** ([ACP 87]) PARTIAL- $k$ -TREE is  $NP$ -hard.

PROOF: (Sketch) Let  $G = (V, E)$  be a input graph of the  $NP$ -complete MINIMUM CUT LINEAR ARRANGEMENT (MCLA) problem (for the proof of  $NP$ -completeness see [GJ 79] [GT44]): given  $G$  and a positive integer  $k$ , does there exist a numbering  $f$  of  $V$ , such that

$$c(f, G) = \max_{1 \leq j < n} |\{\{u, v\} \in E \mid f(u) \leq j < f(v)\}| \leq k$$

We will construct a bipartite graph  $G' = (A \dot{\cup} B, E')$ . The vertices are defined as follows:

- Each  $v \in V$  is represented by  $\Delta(G) + 1$  vertices in  $A$ , building the set  $A_v$  (We denote by  $\Delta(G)$  the maximum vertex degree in  $G$ ) and  $\Delta(G) - \deg(v) + 1$  vertices in  $B$ , building the set  $B_v$ .
- For each edge  $e \in E$  there are two vertices in  $B$ . They are denoted by  $B_e$ .

There are two different edge types in  $E'$ :

- All vertices in  $A_v$  are connected to both vertices in  $B_e$ , if  $v \in e$ .
- All vertices of  $A_v$  are connected with all vertices in  $B_v$ .

Now define  $G''$  to be  $G'$  after inserting all edges in  $A$  and  $B$ . Arnborg et al. showed the following connection:  $G$  has a minimum linear cut value  $k$  with respect to some numbering  $f$ , if and only if the corresponding graph  $G''$  is a partial  $k'$ -tree for  $k' = (\Delta(G) + 1)(|V| + 1) + k - 1$ . Since the construction of  $G''$  is polynomial, it follows that PARTIAL- $k'$ -TREE is  $NP$ -hard. ■

As a corollary we get the following theorem.

**Theorem 9**

*The bandwidth problem on everywhere 1/2-dense graphs is  $NP$ -hard.*

PROOF: Observe that the instance for PARTIAL- $k$ -TREE constructed in the proof of Theorem 8 is cobipartite. Further it is at least 1/2-dense, since the sets  $A$

and  $B$  build cliques and  $|A| = |B|$ :

$$\begin{aligned} |A| &= (\Delta(G) + 1)|V| \\ (n = |V|) &= \Delta(G)n + n \\ &= \Delta(G)n + n - \sum_{v \in V} \deg(v) + 2|E| \\ &= \sum_{v \in V} (\Delta(G) - \deg(v) + 1) + 2|E| \\ &= |B| \end{aligned}$$

Applying Corollary 7 it follows, since  $G$  is cobipartite that the bandwidth on dense graphs is  $NP$ -hard. ■

## 5 Open Problems

An important computational problem remains open about the approximation hardness of the bandwidth on the dense instances.

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